

A hand is pointing at a hexagonal grid of investment opportunities. The grid consists of several hexagons, with the top-right one highlighted in blue and containing the text 'New Age Investment Opportunities'. Other hexagons contain text in yellow: 'Investment in Unlisted Shares', 'Global Investing - US Equity', 'Advisory Stock Portfolio', and 'Customised PMS'. The background is a blurred image of a person in a blue shirt.

New Age Investment Opportunities

**Investment
in
Unlisted
Shares**

**Global
Investing -
US Equity**

**Advisory
Stock Portfolio**

**Customised
PMS**

**Return on
investment**



Present day Investment Opportunities

(financial
assets)

Present day Investment Opportunities

Equity Oriented Mutual Funds

- ✓ Investment in Equity Mutual Fund schemes on random basis.
- ✓ Investment in NFO (New Fund Offer) of Mutual Funds.

New Age approach

- ✓ Well designed portfolio of Mutual Fund schemes with distribution across Large, Large & Mid, Flexi cap, Multi cap, Mid cap, Small cap, Value, Thematic & Sectoral funds, Foreign Funds.
- ✓ AMC (Asset Management Company) concentration should be checked.
- ✓ Quartile performance monitoring.

Present day Investment Opportunities

Debt oriented Mutual Funds & Bank Deposits

- ✓ Returns on Debt Mutual Fund schemes falling. YTM 3.5% to 6%.
- ✓ Credit Risk Funds not a comfortable option for money.
- ✓ Very low rate of return in good quality bank fixed deposits – 5.4% for 5 years.

New Age approach

- ✓ Investment in Top corporate FDs through family members in low tax brackets. Present Return around 7% for 5 years.
- ✓ Investment in Tax Free Bonds of 9 to 10 years residual maturity at 4.55% YTM.
- ✓ Assured returns investment oriented insurance plans for 15 / 20 / 25 years with a Tax Free return of 5.5% to 6%.

Present day Investment Opportunities

Portfolio Management Services (PMS)

- ✓ Investment in PMS schemes without peer comparison.

New Age approach

- ✓ Various options available under PMS. Large Cap, Mid Cap, Multi Cap, Mid Cap, Small Cap, Value, Contra, Sectoral, Thematic.
- ✓ Performance monitoring, gaining importance.
- ✓ Diversification across PMS managers.
- ✓ Rs. 50 Lakh across different schemes of the same PMS managers.
- ✓ Transfer of PMS within the same PMS manager.

Present day Investment Opportunities

Investment in Direct stock portfolio of shares

- ✓ Portfolio of direct stock on the basis of advise of friends / relatives / brokers.

New Age approach

- ✓ Comparison of the portfolio with return on Mutual Funds & PMS.
- ✓ Overall portfolio approach, not individual stock wise.
- ✓ Concentrated portfolio of few stocks – otherwise no different from diversified mutual funds.



Diversify your Investments with a new approach



I. Global Investing – US Equity Market

Global Investing – US Equity Market

Why invest in Foreign Market ?

- ✓ Participate in the best global corporates.
- ✓ Geographical portfolio diversification.
- ✓ US \$ denominated exposure.
- ✓ Attractive valuations – PE as on 14 Dec. 2021.
 - Walmart Inc USA 50.26 V/s. Avenue Supermarkets (Dmart) 228.51.
 - Toyota Motors USA 8.94 V/s. Mahindra & Mahindra 22.61.
 - IBM USA 23.22 V/s. TCS 36.74
- ✓ Corpus in foreign currency.

Global Investing – US Equity Market

Why invest in USA ?

- ✓ US Stock market is nearly half of the global equity market capitalization.
- ✓ According to Fortune, out of world's 100 most admired companies, 81 are in the US.
- ✓ US is one of the most connected countries in terms of social, products, information.
- ✓ US\$ denominated investment suites Indian investor the most.
- ✓ Investment in US market – investment in mother market.

Global Investing – US Equity Market

How to invest in US Stocks ?

Global Investing

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graph TD; A[Global Investing] --> B[Invest in direct US Stocks & ETF from India OR Stock Portfolio of US Stocks ashutoshfinserv.vested.co.in]; A --> C[Invest in Indian Mutual Funds which invest in US Stocks OR FOF (Fund of Fund) of foreign stocks.];
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Invest in direct US Stocks & ETF from India OR Stock Portfolio of US Stocks
ashutoshfinserv.vested.co.in

Invest in Indian Mutual Funds which invest in US Stocks OR FOF (Fund of Fund) of foreign stocks.

Global Investing – US Equity Market

How to invest in US Stocks ?

Investment in US Stocks & ETF directly

- ✓ Through the platform of Vested Finance Inc., USA with whom ashutoshfinserv has partnered.
- ✓ Can select out of more than 1000 stocks & ETFs on your own or can invest in preset portfolios.
- ✓ Seamless electronic operations of opening an account and all web based transactions. No paperwork.

Global Investing – US Equity Market

How to invest in US Stocks ?

- ✓ Investment under LRS (Liberalised Remittance Scheme) to the extent of US\$ 2,50,000 per person per year.
- ✓ No account opening charges.
No brokerage on any transactions.
- ✓ Fixed fund management fees of 0.0833% on the portfolio value (Rs. 83 for a portfolio of Rs. 1 lakh annually).

Global Investing – US Equity Market

How to invest in Foreign Mutual Funds ?

- ✓ Invest in mutual funds which directly invest in US Stock.
- ✓ Invest in mutual funds which are FOF (Fund of Funds) and invest in US based mutual funds.

Type	Large Cap	Mid Cap	Small Cap	Technology
Benchmark	S & P 500	Russel 1000	Russel 3000	Nasdaq 100

Global Investing – US Equity Market

How to invest in Foreign Mutual Funds ?

- ✓ Invest in mutual funds which are FOF and invest in International Stocks (not just USA).
- ✓ Invest in mutual funds which are FOF and invest in various themes : Gold mining, Commodity, EV, AI, Healthcare, Water etc.

***“You must avoid
single country, single currency,
single asset risks
at all costs”***

Shankar Sharma – acclaimed global investor & advisor.



**Diversify your
Investments
with a new
approach**



**II. Unlisted
Shares**

Investment in Unlisted Shares

Why should we invest in Unlisted Shares ?

- ✓ “CATCH THEM YOUNG” early mover advantage.
- ✓ The returns on such stock could be multi-bagger.
- ✓ When they come for IPO the success story is evident & visible to the world at large. Thereafter little juice left.

Investment in Unlisted Shares

Parameters for stock selection when investment directly in specific stock.

- ✓ Promotor.
- ✓ Track Record.
- ✓ Business activity – Futuristic.
- ✓ MOAT – Competitive advantage available.
- ✓ Possibility of value unlocking – chances of going for IPO.
- ✓ Comfort in longer holding period – For delay in IPO.

Investment in Unlisted Shares

How to invest in Unlisted Stock ?

- ✓ Credit of stocks in Demat Account.
- ✓ Payment by cheque / electronic fund transfer.
- ✓ Bill of purchase cum contract note.
- ✓ Freely transferable before IPO. 6 months lock-in after IPO.

Investment in Unlisted Shares

Structured way of investment in Unlisted Stocks –
Alternative Investment Fund (AIF).

- ✓ Investment in unlisted stocks with stock selection by highly experienced & connected Fund Managers.
- ✓ AIF managed by institution of high repute.
- ✓ Minimum investment size Rs. 1 Cr.
Payable in tranches normally equal.
- ✓ Investment period – 5 + 1 + 1 years.

Investment in Unlisted Shares

Structured way of investment in Unlisted Stocks –
Alternative Investment Fund (AIF).

✓ Investment in Unlisted Stocks, through AIF, can address the major concerns of investor :

- Invest in which unlisted companies ?

Factors to be considered : Their business, future, promoters, chances of IPO.

- What price to pay for unlisted stock ?

Factors to be considered : Comparison with Indian & Global peers, adopting valuation model.

***“If you want different results,
you have to try different
approaches”***

Albert Einstein



Diversify your Investments with a new approach



III. Advisory Stock Portfolio

IV. Customised PMS

Advisory stock portfolio & Customised PMS

Features of Portfolio Management Services (PMS)

- ✓ Stock Portfolio managed by a Fund Manager on a discretionary basis. (Fund Manager decides about the stock).
- ✓ Unaffected by in-flow and out-flow of funds.
- ✓ Most PMS invests on the basis ‘Model Stock Portfolio.’
- ✓ Minimum investment in PMS is Rs. 50 lakhs.

Advisory stock portfolio & Customised PMS

Advisory stock portfolio

It is an option where the minimum amount of investment is Rs. 3 lakh to Rs. 5 lakh and they are advised by some of the best and most successful Fund Managers in the country.

- ✓ There are direct equity stock portfolio offered are constructed by the reputed investment advisors.
- ✓ These equity portfolios are held in the separate demat account and trading account opened specifically for this investments with a broker connected with the respective investment advisors.

Advisory stock portfolio & Customised PMS

Advisory stock portfolio

- ✓ The account opening process is completely paperless and seamless without any hassles.
- ✓ These portfolios are actively monitored on a regular basis and any changes are communicated to client electronically. The client has to approve the changes normally through a click.
- ✓ The different stock portfolio offered are on different themes which offer a wide choice to the investor.

Advisory stock portfolio & Customised PMS

Advisory stock portfolio

- ✓ The management fees charged on these portfolios is similar to other investment options.
- ✓ The investor can discontinue the services of these advisory portfolio without any exit load. The portfolio of the investor is already in his own name which he can continue to hold on his own.
- ✓ An investor can make an addition in these portfolios or even withdraw after keeping the minimum investment amount.

Advisory stock portfolio & Customised PMS

Customised Portfolio Management Services (PMS)

- ✓ Each portfolio of all the clients are unique and created according to the dynamics prevalent at the time of investment of the portfolio. There is no model portfolio approach, as is the same in most PMS.
- ✓ There is only one focused portfolio of not more than 20 stocks and a very low churn ratio.
- ✓ Socially responsible and ethical investment policy – no investment in tobacco, alcohol, gambling etc. companies.

Advisory stock portfolio & Customised PMS

Customised Portfolio Management Services (PMS)

- ✓ This PMS can accommodate special client instruction on certain “Do’s & Don’t”.
- ✓ No entry load, no exit load, no profit sharing, no lock-in period. Hence investor can enter and exit without any consequences.
- ✓ Regular review of each individual unique client portfolio.
- ✓ Asset under management AUM with one single fund Rs. 30,000 crores across just 500 clients (appox).

Advisory stock portfolio & Customised PMS

Customised Portfolio Management Services (PMS)

- ✓ Minimum investment amount Rs. 2.5 Crores.
- ✓ PMS offering of one of the most reputed fund managers of the country : Enam Asset Management Company Pvt. Ltd.
- ✓ Long track record of good outperformance of the fund against benchmark Nifty 500.

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